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Agenda item 10 (b)

NATIONAL COMMUNICATIONS FROM PARTIES NOT INCLUDED IN ANNEX I TO THE CONVENTION

Report of the first meeting of the Consultative Group of Experts on National Communications from Parties Not Included in Annex I to the Convention

Note by the secretariat

1. The Conference of the Parties (COP), by its decision 8/CP.5, requested the secretariat to facilitate the work of the Consultative Group of Experts on National Communications from Parties Not Included in Annex I to the Convention (CGE), *inter alia*, by coordinating its meetings and compiling reports on its regional workshops and meetings for consideration by the subsidiary bodies.

2. Pursuant to decision 8/CP.5, the secretariat facilitated the organization and preparation of the report of the inaugural meeting of the Consultative Group of Experts, which was established with the objective of improving national communications from non-Annex I Parties.

3. The attached report, submitted by the Chairman of the CGE, Dr. José Miguez (Brazil), provides information on the outcome of the first meeting of the Group, held in Bonn, Germany on 8 and 9 June 2000.

4. The Subsidiary Body for Implementation may wish to take note of the report and provide further guidance to the Group on the conduct of its work in accordance with the annex to decision 8/CP.5.

FIRST MEETING OF THE CONSULTATIVE GROUP OF EXPERTS ON NATIONAL COMMUNICATIONS FROM PARTIES NOT INCLUDED IN ANNEX I TO THE CONVENTION

Report by the Chairman
Dr. José Miguez (Brazil)

I. INTRODUCTION

A. Mandate

1. Pursuant to decision 8/CP.5, the Consultative Group of Experts on National Communications from Parties Not Included in Annex I to the Convention (CGE) was established with the objective of improving national communications from non-Annex I Parties.

2. The terms of reference of the CGE as contained in the annex to the above decision are as follows:

(a) Exchange experience and information on the preparation of national communications, including consideration of subregional experience, through its meetings and workshops, on the basis of agendas to be decided by consultation among the participants at each level of meetings;

(b) Consider, as appropriate, the needs for and availability of financial resources and technical support, and the identification of barriers to and gaps in this support;

(c) Consider, as appropriate, information in national communications from non-Annex I Parties in accordance with the guidelines for the preparation of initial national communications by Parties not included in Annex I to the Convention contained in the annex to decision 10/CP.2;

(d) Review existing activities and programmes to facilitate and support the preparation of national communications by non-Annex I Parties with a view to identifying gaps and making recommendations to better coordinate these activities and programmes in order to enhance the preparation of national communications;

(e) Identify the difficulties encountered by non-Annex I Parties in the use of the guidelines contained in the annex to decision 10/CP.2 and in the use of the Intergovernmental Panel on Climate Change (IPCC) methodologies and other models, and make recommendations for improvement where appropriate;

(f) Identify the analytical and methodological issues, including technical problems in the preparation and reporting of greenhouse gas inventories, in particular with respect to the improvement of data collection, the development of local and regional emission factors and activity data, and the development of methodologies, where appropriate, with a view to enhancing the quality of future inventories;

(g) Examine national communications, in particular greenhouse gas inventories, submitted by non-Annex I Parties, with a view to arriving at recommendations on ways of overcoming difficulties in the use of the IPCC methodologies and the UNFCCC guidelines relating to inventories contained in the annex to decision 10/CP.2, and on possible innovations, and produce reports thereon;

(h) Encourage interaction among experts from all Parties.

3. In accordance with decision 8/CP.5, the CGE comprises five experts each from Africa, Asia and Latin America and the Caribbean, as well as six experts from Annex I Parties. Additionally, representatives from three international organizations, namely the IPCC Task Force on National Greenhouse Gas Inventories, the National Communications Support Programme and CC:TRAIN (United Nations Institute for Training and Research) were selected by the secretariat to participate in the Group (see annex I).

B. Scope of the report

4. This report covers the proceedings of the first meeting of the Consultative Group of Experts, held in Bonn on 8-9 June 2000.

II. DISCUSSIONS

5. On Thursday, 8 June 2000, the Chairman of the Subsidiary Body for Implementation (SBI), Mr. John Ashe (Antigua and Barbuda), opened the first meeting of the CGE by welcoming the participating experts to the meeting. He emphasized the importance of the work of the CGE to the effective implementation of the Convention and expressed his confidence in the ability of the Group to accomplish its tasks in an expeditious manner.

6. The SBI Chairman proposed that to facilitate the effective discharge of the Group's mandate, a Chairman and a Rapporteur be elected to guide the conduct of its business. He suggested that these offices of the CGE be rotated among the regions at each meeting of the Group. He further proposed that the Rapporteur nominated at each meeting of the Group would chair its subsequent meeting. The experts of the CGE elected as Chairman and as Rapporteur of the first meeting, Mr. José Miguez (Brazil) from the Latin America and the Caribbean region and Mr. Ayité-lo Ajavon (Togo) from the African region, respectively.

7. A provisional agenda previously circulated by the secretariat was discussed and adopted to guide the conduct of the first meeting of the Group (see annex II).

8. The conclusions and recommendations of the first CGE workshop for the Latin America and the Caribbean region were presented by the workshop's coordinator, Ms. Julia Martinez (Mexico). The CGE also discussed the summary report of the workshop as contained in document FCCC/SBI/2000/INF.4. It further discussed the reports of the three working groups constituted during the workshop to address national greenhouse gas inventories (working paper No. 9 (2000)), vulnerability assessment and adaptation options (working paper No. 10 (2000)), and financial and technical needs (working paper No. 11 (2000)). The CGE members further discussed Working paper No. 8 (2000) on "National greenhouse gas inventories of non-Annex I

Parties of the Latin America and the Caribbean region: preliminary synthesis and methodological issues", prepared by the UNFCCC secretariat to facilitate discussions at the workshop.

9. The CGE noted that the outputs of the Latin America and the Caribbean workshop were very useful and that the structure and format for the conduct of the meeting could serve as a guide for subsequent regional workshops.

10. The Group discussed the terms of reference of the CGE annexed to decision 8/CP.5. To ensure that all aspects of the terms of reference are addressed in the Group's work plan, a checklist of action to be taken by the CGE members was prepared, discussed and adopted (see annex III). The Group further agreed to provide a technical examination of the national communications submitted so far to the UNFCCC secretariat and to prepare an assessment of some cross-cutting issues.

11. In order for the CGE to prepare its final report in time for consideration by the SBI at the seventh session of the Conference of the Parties (COP), a schedule of meetings and activities was discussed and agreed upon. Decision 8/CP.5 called for the organization of two other regional workshops in 2000 for the African and Asian regions, and for the CGE to meet again during 2000, prior to the resumed thirteenth session of the SBI. Accordingly, the CGE scheduled a regional workshop for Africa to be held during the second week of August and a regional workshop for Asia to be held during the third week of October. The CGE further decided to schedule its second meeting after the third regional workshop during the week prior to the resumed thirteenth sessions of the subsidiary bodies (9-10 November 2000) in The Hague, the Netherlands. During that meeting, an analysis of the outcomes of the three regional workshops will be prepared and used to refine the work plan for the year 2001, including the development of an agenda for a subsequent regional meeting or meetings.

12. The Group noted that, to complete its tasks as defined by its terms of reference, it would not be possible, due to time constraints, to conduct three separate regional workshops and prepare its final report in time for consideration by the subsidiary bodies at COP 7 (November 2001). The CGE therefore decided to organize a joint regional workshop from 5 to 10 March 2001. The Group was of the view that this approach would allow for a better examination of all relevant cross-cutting issues as well as interregional comparisons.

13. After the joint workshop, a group of drafters, comprising the Chairman and Rapporteur of the workshop, the chairmen and rapporteurs of the three working groups to be established at the workshop to discuss regional issues and priorities, and up to six other CGE members, preferably from Annex I Parties, will prepare a draft report of the joint workshop. Additionally, they will prepare a draft final report of the CGE to the COP, for consideration by all its members at its third meeting prior to the fourteenth sessions of the subsidiary bodies.

14. The fourth meeting, scheduled for 6-7 August 2001, will consider and adopt the final report of the CGE for consideration by the subsidiary bodies at the seventh session of the Conference of the Parties.

15. The CGE submitted for consideration by the SBI at its twelfth session an indicative cost of implementing its work plan in order to fulfil its mandate under decision 8/CP.5 (see annex IV). The budget indicates a shortfall of some US$ 500,000.

16. Members of the Group from some non-Annex I Parties expressed their desire to take the opportunity offered by the sixth session of the COP and the fourteenth sessions of the subsidiary bodies to carry out further consultations which would facilitate the attainment of the Group's mandate. The budgetary implications of covering the additional daily subsistence allowance needed for these experts to participate in the meetings would be approximately US$ 70,000.

Annex I

LIST OF PARTICIPANTS
(Members)

Present

Africa
Dr. Ahmed Amin (Egypt)
Dr. Isabelle Niang Diop (Senegal)
Prof. Ayite-lo Ajavon (Togo)
Mr. Richard Muyungi (United Republic of Tanzania)

Asia
Mr. Aimin Ma (China)
Dr. Mahendra Kumar (Fiji)
Mr. Mahboob Elahi (Pakistan)
Dr. Taha Zatari (Saudi Arabia)
Dr. Vute Wangwacharakul (Thailand)

Latin America and the Caribbean
Mr. Brian Challenger (Antigua and Barbuda)
Mr. Philip S. Weech (Bahamas)
Dr. José Miguez (Brazil)
Mr. Luis Cáceres (Ecuador)
Ms. Julia Martínez (Mexico)

Annex I Parties
Dr. Christopher Mitchell (Australia)
Dr. Anke Herold (Germany)
Mr. Ivan Mojik (Slovakia)
Dr. Othmar Schwank (Switzerland)
Mr. Jack Fitzgerald (United States of America)

International organizations
Dr. Luis Paz (CC:TRAIN (UNITAR))
Dr. Bo Lim (UNDP/UNEP/National Communications Support Programme)
Mr. Taka Hiraishi (IPCC Task Force on National Greenhouse Gas Inventories)

Absent

Dr. Jean-Philippe Thomas (France) with apologies
Dr. Lauraine Lotter (South Africa) with apologies

Annex II

AGENDA

Thursday, 8 June 2000

9:00 DSA payment for funded participants

9:30 Opening of the meeting by SBI Chairman, Ambassador John Ashe

9:40 Organizational matters:
- Selection of Chairperson
- Selection of Rapporteur

10:00 Presentation of the report of the first regional workshop for Latin America and the Caribbean by the workshop coordinator (Ms. Julia Martinez)

10:30 Discussion of workshop outputs
12:30 Lunch
14:30 Views on a possible work plan for the Consultative Group of Experts
18:00 Close

Friday, 9 June 2000

9:00 Continuation with deliberations on work plan
11:00 Procedural matters:
- Participation in regional workshops
- Presentation of reports to the subsidiary bodies

12:00 Report of the meeting
12:15 Other matters
- Financing for participation in CGE meetings
- Participation in COP and SB meetings

Annex III

CHECKLIST OF ACTION TO BE TAKEN BY THE CGE

	MANDATE	ACTIONS
5(a)	Information exchange	- workshops - identify other (subsequent) process - networking
5(b)	Financial resources Technical support	- what exists (status) - barriers - gaps - long-term capacity-building
5(c)	Information in national communications	- gaps - existing guidelines (UNFCCC)
5(d)	Existing activities and programmes	- identify programmes being used - identify how programmes (multilateral and bilateral) are facilitating the preparation of national communications how to better coordinate them - identify if regional coordination was established
5(e)	Difficulties encountered with UNFCCC guidelines (decision 10/CP.2) IPCC methodologies - Inventories - Impacts and adaptation Other models	- identify problems - suggest improvements
5(f)	Greenhouse gas inventories implementation Technical problems in preparation and reporting - data collection - emission factors - activity data - development of methodologies	- identify gaps and problems, especially whether a system for data archiving was established - suggested improvements
5(g)	Methodological issues related to greenhouse gas inventories - IPCC methodologies - 1996 Revised Guidelines - Good Practice Guidance - UNFCCC guidelines related to inventories	- how to overcome difficulties in use - identify innovations
5(h)	Encouraging interaction between experts	- identify South-South cooperation - consolidate and improve the roster of experts - networking

Annex IV

COST ESTIMATES FOR MEETINGS AND WORKSHOPS

(United States dollars)

REQUIREMENTS	
I. Estimated requirements for 2000	
Regional workshop (Latin America and the Caribbean)	75,000
Two regional workshops (Africa and Asia)	150,000
First CGE meeting, Bonn, Germany (7 funded participants)	21,000
Second CGE meeting, The Hague (16 funded participants)*	54,000
Subtotal I: Estimated requirements for 2000	**300,000**
II. Estimated requirements for 2001	
Joint regional workshops, venue to be decided (61 funded participants)	223,180
Third CGE meeting, Bonn, Germany (16 funded participants)*	57,500
Fourth CGE meeting (16 funded participants)	57,000
Subtotal II: Estimated requirements for 2001	**337,680**
TOTAL REQUIREMENTS 2000-2001	**637,680**
INCOME	
Funds received to date	
USA	100,000
Finland	13,371
Bonn Fund	21,000
TOTAL INCOME	**134,371**
NET TOTAL REQUIRED	**503,309**
* DSA for 2 weeks for the participation of NAI Party experts in two additional meetings (COP 6 and SB 14)	70,000
NET TOTAL REQUIRED	**573,309**

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SUBSIDIARY BODY FOR IMPLEMENTATION

REPORT OF THE SUBSIDIARY BODY FOR IMPLEMENTATION ON THE SECOND PART OF ITS THIRTEENTH SESSION, THE HAGUE, 13-18 NOVEMBER 2000

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I. INTRODUCTION

1. The second part of the thirteenth session of the Subsidiary Body for Implementation (SBI) was held at the Netherlands Congress Centre, The Hague, Netherlands, from 13 to 18 November 2000.

2. At the opening meeting, held jointly with the Subsidiary Body for Scientific and Technological Advice (SBSTA) on 13 November 2000, the chairmen of the subsidiary bodies welcomed all Parties and observers to the second part of the thirteenth sessions of the subsidiary bodies.

3. The chairmen recalled that they had prepared new documents on some issues, following the mandates given by Parties at the first part of the sessions to facilitate and advance negotiations at the resumed sessions. In preparing these texts, the chairmen had drawn on views expressed at the first part of the thirteenth sessions, submissions from Parties, and extensive bilateral consultations, including inter-sessional consultations.

4. The chairmen expressed the hope that these revised texts would be useful additions to those considered at the first part of the sessions, all of which would remain on the table. They emphasized that solid, well-drafted decisions must be developed to lay the foundation for success at the sixth session of the Conference of the Parties (COP) and to make ratification of the Kyoto Protocol possible.

5. Also at the joint meeting on 13 November, the chairmen recalled that at the first part of the thirteenth sessions, they had requested the co-chairs of the contact groups to resume their responsibilities at the second part of the thirteenth sessions, including those who were not able to participate in the first part of the sessions (FCCC/SBI/2000/10, para. 71).

II. ORGANIZATIONAL MATTERS
(Agenda item 2)

6. The SBI considered this item at its 7th meeting, on 13 November. The Chairman recalled that at its 1st meeting, on 11 September, the SBI had adopted the agenda for the thirteenth session (FCCC/SBI/2000/10, para. 6). No new annotations had been prepared but a list of documents could be found in document FCCC/CP/2000/1/Add.2.

7. The Chairman recalled that at the first part of its thirteenth session, the SBI had concluded consideration of the following agenda items:

11. Financial mechanism:

    (a) Support to the Intergovernmental Panel on Climate Change;

12. Venue of the seventh session of the Conference of the Parties.

III. IMPLEMENTATION OF ARTICLE 4.8 AND 4.9 OF THE CONVENTION (DECISION 3/CP.3 AND ARTICLES 2.3 AND 3.14 OF THE KYOTO PROTOCOL)

(Agenda item 3)

MATTERS RELATING TO ARTICLE 3.14 OF THE KYOTO PROTOCOL

(Agenda item 4)

1. Proceedings

8. The SBI considered these items together at its 6th and 8th meetings, held jointly with the SBSTA, on 13 and 18 November respectively. It had before it documents FCCC/SBI/2000/10/Add.1, FCCC/SB/2000/12, and conference room paper FCCC/SB/2000/CRP.18.

9. Statements were made by 11 Parties, including one speaking on behalf of the group of least developed countries, one speaking on behalf of the European Community and its member States, and one speaking on behalf of the Group of 77 and China.

10. At the 6th meeting, Mr. Bo Kjellén (Sweden) reported on the informal consultations relating to these items which had been held in Geneva, Switzerland, from 19 to 21 October.

11. Also at the 6th meeting, a representative speaking on behalf of the group of least developed countries, reported on the workshop for least developed countries, held in Geneva, Switzerland, from 16 to 17 October, to enable them to discuss their specific situations, needs and concerns related to adaptation, capacity-building and development and transfer of technologies.

12. At the same meeting, the chairmen of the subsidiary bodies referred discussion of this item to the contact group established at the first part of the session, under the co-chairmanship of Mr. Kjellén and Mr. Mohammad Reza Salamat (Islamic Republic of Iran). The chairmen thanked Mr. Abdulmuhsen Al-Sunaid (Saudi Arabia), who had co-chaired this contact group at the first part of the session in the absence of Mr. Salamat (FCCC/SBI/2000/10, para.11).

2. Conclusions

13. At their joint meeting on 18 November, having considered a proposal by the chairmen, the SBI and the SBSTA agreed to forward the text contained in conference room paper FCCC/SB/2000/CRP.18 for further consideration by the COP at its sixth session.

IV. PROCEDURES AND MECHANISMS RELATING TO COMPLIANCE UNDER THE KYOTO PROTOCOL

(Agenda item 5)

1. Proceedings

14. The SBI considered this item at its 6th and 8th meetings, held jointly with the SBSTA, on 13 and 18 November respectively. It had before it documents FCCC/SBI/2000/10/Add.2 and FCCC/SB/2000/11, and conference room papers FCCC/SB/2000/CRP.15/Rev.1 and Rev.2.

15. Statements were made by three Parties, including one speaking on behalf of the European Community and its member States, and one speaking on behalf of the Group of 77 and China.

16. At the 6th meeting, the co-chairmen of the Joint Working Group on Compliance (JWG) established by decision 8/CP.4,[1] reported on the informal consultations relating to this item which had been held in New Delhi, India, from 12 to 14 October. At the same meeting, the chairmen referred discussion of this item to the JWG under the co-chairmanship of Mr. Harald Dovland (Norway), Chairman of the SBSTA, and Mr. Tuiloma Neroni Slade (Samoa).

2. Conclusions

17. At the joint meeting on 18 November, the SBI and the SBSTA took note of the report of the JWG (see annex I below). Also at that joint meeting, having considered a proposal by the chairmen and taking into account the amendments proposed, the SBI and SBSTA agreed to forward the draft decisions, the text on procedures and mechanisms and the text on final clauses contained in conference room paper FCCC/SB/2000/CRP.15/Rev.2 to the Conference of the Parties at its sixth session for further consideration.

V. ACTIVITIES IMPLEMENTED JOINTLY UNDER THE PILOT PHASE
(Agenda item 6)

1. Proceedings

18. The SBSTA considered this item at its 6th and 8th meetings, held jointly with the SBI, on 13 and 18 November respectively. It had before it conference room paper FCCC/SB/2000/CRP.23.

19. At the 6th meeting, the chairmen recalled that at the first part of the sessions, it had been concluded that the consideration of the draft revised uniform reporting format would be referred to the fourteenth sessions of the subsidiary bodies (FCCC/SBSTA/2000/10, para. 20). At the same meeting, the chairmen invited Mr. Kok Kee Chow (Malaysia) to consult on a draft decision on activities implemented jointly under the pilot phase, for consideration by the COP at its sixth session.

2. Conclusions

20. At their joint meeting on 18 November, having considered a proposal by the chairmen, the subsidiary bodies agreed to forward the draft decision contained in FCCC/SB/2000/CRP.23 to the COP at its sixth session for further consideration.

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[1] For the full texts of decisions adopted by the Conference of the Parties at its fourth session, see document FCCC/CP/1998/16/Add.1.

VI. MECHANISMS PURSUANT TO ARTICLES 6, 12 AND 17 OF THE KYOTO PROTOCOL

(Agenda item 7)

1. Proceedings

21. The SBSTA considered this item at its 6th and 8th meetings, held jointly with the SBI, on 13 and 18 November respectively. It had before it documents FCCC/SBSTA/2000/10/Add.1 (parts I-IV), FCCC/SB/2000/10 and Add.1-4, and conference room papers FCCC/SB/2000/CRP.19, FCCC/SB/2000/CRP.20 and Add.1, FCCC/SB/2000/CRP.21 and FCCC/SB/2000/CRP.22.

22. Statements were made by representatives of seven Parties, including one speaking on behalf of the Group of 77 and China and one speaking on behalf of the Alliance of Small Island States.

23. At the 6th meeting, Mr. Kok Kee Chow (Malaysia) reported on the inter-sessional consultations he had chaired on this item, at the request of the chairmen of the subsidiary bodies. At the same meeting, the subsidiary bodies agreed to continue their consideration of this item by way of the joint contact group convened at the first part of their thirteenth sessions under the chairmanship of Mr. Chow (FCCC/SBI/2000/10, para. 22).

2. Conclusions

24. At their joint meeting on 18 November, having considered a proposal by the chairmen, the SBSTA and the SBI agreed to forward the draft decisions contained in conference room papers FCCC/SB/2000/CRP.19, FCCC/SB/2000/CRP.20 and Add.1, FCCC/SB/2000/CRP.21 and FCCC/SB/2000/CRP.22 to the COP at its sixth session for further consideration.

VII. CAPACITY-BUILDING

(Agenda item 8)

A. Capacity-building in developing countries (non-Annex I Parties)

(Agenda item 8 (a))

B. Capacity-building in countries with economies in transition

(Agenda item 8 (b))

1. Proceedings

25. The SBI considered these sub-items together at its 6th and 8th meetings, held jointly with the SBSTA, on 13 and 18 November respectively. It had before it documents FCCC/SBI/2000/10/Add.3 and FCCC/SBI/2000/10/Add.4, and conference room papers FCCC/SB/2000/CRP.16 and FCCC/SB/2000/CRP.17/Rev.1.

26. Statements were made by four Parties, including one speaking on behalf of the Group of 77 and China.

27. At the 6th meeting, the chairmen referred discussion of this item to the contact group established at the first part of the session, under the co-chairmanship of Mr. John Ashe (Antigua and Barbuda) and Mr. Jukka Uosukainen (Finland) (FCCC/SBI/2000/10, para. 26).

2. Conclusions

28. At their joint meeting on 18 November, having considered a proposal by the chairmen, the SBI and the SBSTA agreed to forward the draft decisions contained in conference room papers FCCC/SB/2000/CRP.16 and FCCC/SB/2000/CRP.17/Rev.1 for further consideration by the COP at its sixth session.

VIII. NATIONAL COMMUNICATIONS FROM PARTIES INCLUDED IN ANNEX I TO THE CONVENTION: GREENHOUSE GAS INVENTORY DATA FROM 1990 TO 1998

(Agenda item 9)

1. Proceedings

29. The SBI considered this item at its 7th and 9th meetings, on 13 and 18 November respectively. It had before it documents FCCC/SBI/2000/11 and Corr.1, FCCC/SBI/2000/14, FCCC/SBI/2000/INF.13 and FCCC/SBI/2000/INF.14, and conference room paper FCCC/SBI/2000/CRP.14.

30. Statements were made by three Parties. The representative of Argentina highlighted the importance of this discussion and stressed the need for Parties to give additional attention to the issue of the levels of GHG emissions from Annex I Parties.

31. At the 7th meeting, the Chairman noted that at its 3rd meeting, on 12 September, the SBI had agreed to consider a proposal by the Chairman for the conclusions on this item, at the second part of the thirteenth session (FCCC/SBI/2000/10, para. 30).

2. Conclusions

32. At its 9th meeting, on 18 November, having considered a proposal by the Chairman, the SBI adopted the following conclusions:

(a) The SBI took note of the information contained in document FCCC/SBI/2000/INF.14 on the progress made with in-depth reviews of second national communications and requested the secretariat to prepare for the review of the third national communications due in November 2001;

(b) The SBI took note of the information provided in document FCCC/SBI/2000/14 on the implementation of decision 6/CP.5[2] on the technical review of greenhouse gas (GHG) inventories from Parties included in Annex I and welcomed the publication on the web site of the secretariat of the status reports on greenhouse gas inventories from Annex I Parties. It requested

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[2] For the full texts of decisions adopted by the Conference of the Parties at its fifth session, see document FCCC/CP/1999/6/Add.1.

the secretariat to continue its activities in this area and noted the importance of the experience gained during the trial period in the use of the guidelines for the technical review of greenhouse gas inventories related to timing, level of effort and practical lessons learned, for possible further elaboration of the future greenhouse gas inventory review process under the Kyoto Protocol. The SBI also expressed its appreciation to those Annex I Parties volunteering for the review of their greenhouse gas inventories in the trial period;

(c) The SBI took note of the documents prepared by the secretariat on national greenhouse gas inventory data from Annex I Parties (FCCC/SBI/2000/11 and Corr.1, FCCC/SBI/2000/INF.13). The SBI commended Annex I Parties for using the UNFCCC reporting guidelines on annual inventories, including the common reporting format, and noted with satisfaction the progress in the number of Annex I Parties reporting and in the timeliness of reporting. However, the SBI noted that some Annex I Parties have not fulfilled all aspects of the reporting requirements, specifically by either not providing a recent report, not reporting for all years, gases and sectors, or not fully completing the common reporting format. The SBI urged these Annex I Parties to make greater efforts to report in accordance with the UNFCCC reporting guidelines on annual inventories;

(d) The SBI noted that, as a result of incomplete and inconsistent reporting by some Annex I Parties, it was difficult to compare trends in emissions. However, the SBI noted that, based on the reported data, Annex I Parties collectively had reduced their greenhouse gas emissions by 6 per cent in 1998 compared with 1990 levels, emissions from Annex I Parties with economies in transition having decreased by 37 per cent, while emissions from several Annex II Parties had increased, resulting in an overall increase in emissions from Annex II Parties of 7 per cent since 1990. The SBI expressed concern over the increase in emissions of many Annex I Parties and reaffirmed the need for further action to reverse the trend.

IX. NATIONAL COMMUNICATIONS FROM PARTIES NOT INCLUDED IN ANNEX I TO THE CONVENTION

(Agenda item 10)

A. Provision of financial and technical support

(Agenda item 10 (a))

1. Proceedings

33. The SBI considered this sub-item at its 7th and 9th meetings, on 13 and 18 November respectively. It had before it document FCCC/SBI/2000/INF.8 and conference room paper FCCC/SBI/2000/CRP.12.

34. A statement was made by one Party, speaking on behalf of the Group of 77 and China.

35. At the 7th meeting, the Chairman recalled that at the first part of the session the SBI had considered document FCCC/SBI/2000/INF.7. He further noted that the table on "Status of the preparation of national communications by Parties not included in Annex I to the Convention" would be posted on the UNFCCC web site and would be made available in hard copy on request

(FCCC/WEB/2000/2). The Chairman also recalled that by decision 12/CP.4 the secretariat was requested to compile and make available to the Parties a list of projects submitted by non-Annex I Parties in accordance with Article 12.4 of the Convention. He stated that, as of this year, the list of projects would be posted on the UNFCCC web site and be updated on a regular basis (FCCC/WEB/2000/1). This list of projects would be made available in hard copy on request.

36. Also at the 7th meeting, the Chairman noted that at its 3rd meeting, on 12 September, the SBI had agreed to consider a proposal by the Chairman for the conclusions on this item, at the second part of the thirteenth session (FCCC/SBI/2000/10, para. 35).

2. Conclusions

37. At its 9th meeting, on 18 November, having considered a proposal by the Chairman, the SBI adopted the following conclusions:

(a) The SBI took note of the report of the secretariat on its activities to facilitate the provision of financial and technical support, and information on communications from Parties not included in Annex I to the Convention (non-Annex I Parties) (FCCC/SBI/2000/INF.7). The SBI also noted the technical needs, concerns and problems reported by those non-Annex I Parties that are still in the process of preparing their initial national communications, in particular the limited capacity for the completion of technical studies to be included in the national communications;

(b) The SBI further noted the progress made by non-Annex I Parties in the preparation of their national communications (FCCC/WEB/2000/1);

(c) The SBI noted with appreciation the information provided by the operating entity of the financial mechanism, the Global Environment Facility (GEF), regarding the details of its financial support, namely the dates of disbursement of funds for enabling activity projects for the preparation of initial national communications by non-Annex I Parties (FCCC/SBI/2000/INF.8), and requested the GEF to continue providing information on the effective availability of funds for enabling activity projects;

(d) The SBI also took note of the document containing the list of GHG abatement projects submitted by non-Annex I Parties for funding in accordance with Article 12.4 of the Convention (FCCC/WEB/2000/2), and recommended that these projects should be brought to the attention of the GEF and, as appropriate, other bilateral and multilateral financing institutions, through the established channels.

B. Report of the second meeting of the Consultative Group of Experts
(Agenda item 10 (b))

1. Proceedings

38. The SBI considered this sub-item at its 7th and 9th meetings, on 13 and 18 November respectively. It had before it documents FCCC/SBI/2000/16, FCCC/SBI/2000/INF.9, and FCC/SBI/2000/INF.10, and conference room paper FCCC/SBI/2000/CRP.13/Rev.1.

39. At the 7th meeting, the Chairman noted that at its 3rd meeting, on 12 September, the SBI had agreed to consider this sub-item at the second part of the session (FCCC/SBI/2000/10, para. 36).

40. Also at the 7th meeting, at the invitation of the Chairman, the Chairman of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (CGE), Mr. Ayite-lo Ajavon (Togo), provided the SBI with an oral report on the Group's second meeting, which was held in The Hague from 9 to 10 November 2000. The Chairman of the SBI noted that the written report will be made available at the fourteenth session of the SBI.

41. Statements were made by three Parties.

2. Conclusions

42. At its 9th meeting, on 18 November, having considered a proposal by the Chairman, the SBI adopted the following conclusions:

(a) The SBI took note of the report of the first meeting of the Consultative Group of Experts, held in Bonn, Germany on 8 and 9 June 2000 (FCCC/SBI/2000/16);

(b) The SBI further took note of the reports of the two regional workshops organized by the secretariat, one for the African region, held in Nairobi, Kenya, from 15 to 18 August 2000 (FCCC/SBI/2000/INF.9), and one for the Asian region, held in Bangkok, Thailand, from 16 to 20 October 2000 (FCCC/SBI/2000/INF.10), and noted that the CGE had been successful in conducting the three regional workshops scheduled for the year 2000;

(c) The SBI, noting with appreciation the oral report of the Chairman of the CGE on the progress of work in the implementation of the Group's mandate as contained in decision 8/CP.5, took note of the significant progress made and commended the Group for the work done so far;

(d) The SBI urged Annex II Parties to provide adequate additional funding to support the work of the CGE in the next budget biennium;

(e) The SBI further urged Annex II Parties to provide financial support to the CGE to enable it to complete its work in time for consideration by the SBI at its fifteenth session, so that a decision could be taken by the Conference of the Parties at its seventh session.

C. Second compilation and synthesis of initial national communications from Parties not included in Annex I to the Convention

(Agenda item 10 (c))

1. Proceedings

43. The SBI considered this sub-item at its 7th and 9th meetings, on 13 and 18 November respectively. It had before it the following documents: FCCC/SBI/2000/15 and FCCC/SBI/2000/L.5.

44. At the 7th meeting, the Chairman noted that at its 3rd meeting, on 12 September, the SBI had agreed to consider this sub-item at the second part of the session (FCCC/SBI/2000/10, para. 37).

45. Statements were made by four Parties.

2. Conclusions

46. At its 9th meeting, on 18 November, having considered a proposal by the Chairman, the SBI agreed to recommend a draft decision for adoption by the COP at its sixth session on the second compilation and synthesis of initial national communications from Parties not included in Annex I to the Convention (FCCC/SBI/2000/L.5).

X. FINANCIAL MECHANISM
(Agenda item 11)

A. Report of the Global Environment Facility to the Conference of the Parties
(Agenda item 11 (b))

1. Proceedings

47. The SBI considered this sub-item at its 7th and 9th meetings, on 13 and 18 November respectively. It had before it document FCCC/CP/2000/3 and Add.1, and conference room paper FCCC/SBI/2000/CRP.15.

48. Statements were made by four Parties, including one speaking on behalf of the Group of 77 and China.

49. At the 7th meeting, the Chairman noted that at its 3rd meeting, on 12 September, the SBI had agreed to consider this sub-item at the second part of the session (FCCC/SBI/2000/10, para. 41). Also at the 7th meeting, two representatives of the secretariat of the Global Environment Facility presented document FCCC/CP/2000/3 and Add.1.

2. Conclusions

50. At its 9th meeting, on 18 November, having considered a proposal by the Chairman, the SBI adopted the following conclusions:

(a) The SBI took note of the report of the Global Environment Facility to the Conference of the Parties (FCCC/CP/2000/3). This report includes information on how the GEF has applied the guidance and decisions of the Conference of the Parties in accordance with the memorandum of understanding between the Conference of the Parties and the GEF Council;

(b) The SBI also took note of the report of the GEF on the review of its climate change enabling activities (FCCC/CP/2000/3/Add.1). The SBI noted that the GEF, in its reports to the COP at its fourth and fifth sessions had indicated that, in 1999, it would undertake an evaluation of enabling activities in the climate change area. Some Parties, however, noted that the Conference of the Parties has sole responsibility for reviewing those activities and that only a limited number of enabling activity projects were considered in the review;

(c) Some Parties noted the need for greater clarity in the guidance the Conference of the Parties provides to the operating entity of the financial mechanism to support enabling activities, urged the GEF to develop a more strategic and long-term approach to funding of enabling activities and stressed that the approval process for enabling activity projects should be shortened and streamlined;

(d) In addition, a few Parties urged the GEF to encourage greater collaboration amongst its implementing agencies.

B. Other matters
(Agenda item 11 (c))

1. Proceedings

51. The SBI considered this sub-item at its 7th and 9th meetings, on 13 and 18 November respectively. It had before it document FCCC/SBI/2000/10, and conference room paper FCCC/SBI/2000/CRP.16/Rev.1.

52. Statements were made by nine Parties, including one speaking on behalf of the African Group, one speaking on behalf of the European Community and its member States, one speaking on behalf of the Group of 77 and China, and one speaking on behalf of the Alliance of Small Island States.

53. At the 7th meeting, the Chairman noted that at its 4th meeting, on 15 September, the SBI had agreed to further consider this item at the second part of the session by way of a contact group co-chaired by himself and Mr. Kerry Groves (Australia) (FCCC/SBI/2000/10, para. 45).

2. Conclusions

54. At its 9th meeting, on 18 November, having considered a proposal by the Chairman, the SBI agreed to forward the draft decision contained in conference paper FCCC/SBI/2000/CRP.16.Rev.1, for further consideration by the COP at its sixth session.

XI. ADMINISTRATIVE AND FINANCIAL MATTERS
(Agenda item 13)

A. Possible options to respond to late payment of contributions
(Agenda item 13 (a))

1. Proceedings

55. The SBI considered this sub-item at its 7th meeting, on 13 November. It had before it document FCCC/SBI/2000/10.

56. The Chairman recalled that at its 3rd meeting, on 12 September, the SBI had decided to postpone its consideration of the draft decision (FCCC/SBI/2000/10, annex II) until the second part of the session (FCCC/SBI/2000/10, para. 55). He informed the SBI that several delegations wished to postpone this item until the fourteenth session owing to the heavy workload on issues that must be given priority at the current session.

2. Conclusions

57. At its 7th meeting, on 13 November, the SBI agreed to postpone further the consideration of this sub-item until its fourteenth session.

B. Interim financial performance 2000

(Agenda item 13 (c))

1. Proceedings

58. The SBI considered this sub-item at its 7th meeting, on 13 November. It had before it document FCCC/SBI/2000/INF.11 on the status of contributions as at 31 October 2000.

59. A statement was made by one Party.

2. Conclusions

60. After recalling that the work under this item had been finalized at the first part of its thirteenth session and that draft decisions for consideration by the Conference of the Parties at its sixth session had been included in document FCCC/CP/2000/1/Add.1, the SBI took note of the latest status of contributions as at 31 October 2000.

C. Implementation of the Headquarters Agreement

(Agenda item 13 (d))

1. Proceedings

61. The SBI considered this sub-item at its 9th meeting, on 18 November 2000. The representative of Germany reported that the Government had agreed to offer joint accommodation for the secretariats of UNFCCC and the United Nations Convention to Combat Desertification (UNCCD) in the former parliamentary area. This would be available by the end of 2002. He confirmed that the conference facilities in the immediate vicinity of the premises would be made available for use by UNFCCC.

62. The representative of Germany also updated the SBI on the situation regarding issuance of visas, residence status of the secretariat staff and exemption from work permit requirements for their dependants.

63. The Executive Secretary, in response, confirmed receipt of a letter from the Federal Minister for the Environment, Nature Conservation and Nuclear Safety and the Federal Minister for Economic Cooperation and Development addressed to him and the Executive Secretary of UNCCD, offering the new office accommodation. He thanked the Government for the offer, and said that both executive secretaries would respond to the letter once the proposed accommodation had been evaluated. He welcomed the co-location with UNCCD and looked forward to the establishment of a new "United Nations House".

64. On the issuance of visas, residence status and work permits, the Executive Secretary expressed his hope that the host authorities would continue to review the needs and find ways of improving the process.

2. Conclusions

65. At its 9th meeting, on 18 November, the SBI took note of the statements made by the host Government and the Executive Secretary. The SBI thanked the host Government for its efforts to provide suitable accommodation to the Convention secretariat, and invited the Government and the Executive Secretary to report on progress made on this issue at its next session. Meanwhile, the SBI invited the Government of Germany to continue its efforts to improve the process of issuing visas to delegates as well as entry and residence visas and work permits to the dependants of secretariat staff.

D. Programme budget for the biennium 2002-2003

1. Proceedings

66. At its 7th meeting, on 13 November, the SBI recalled its intention to start discussions on the programme budget for 2002-2003 at the resumed thirteenth session (FCCC/SBI/2000/10, para. 58). The Chairman held informal consultations with interested Parties.

2. Conclusions

67. At its 9th meeting, on 18 November, the SBI agreed to consider this sub-item at its fourteenth session, with a view to recommending to the COP a draft programme budget for the biennium 2002-2003 for adoption at its seventh session.

XII. OTHER MATTERS
(Agenda item 14)

68. At the 7th meeting, on 13 November, the Chairman noted that at its 3rd meeting, on 12 September, the SBI had agreed to consider issues related to Articles 7 and 8 of the Kyoto Protocol at the second part of its thirteenth session after the SBSTA had arrived at conclusions on the guidelines on those articles, with the aim of submitting those guidelines for adoption by the Conference of the Parties at its sixth session (FCCC/SBI/2000/10, para. 64).

2. Conclusions

69. At its 9th meeting, on 18 November, the SBI endorsed forwarding draft guidelines for the preparation of the information required under Article 7 of the Kyoto Protocol and draft guidelines for the review under Article 8 and their corresponding draft decisions contained in conference room paper FCCC/SBSTA/2000/CRP.17 to the COP for further elaboration.

XIII. REPORT ON THE SESSION
(Agenda item 15)

1. Proceedings

70. At its 9th meeting, on 18 November, the SBI considered the draft report on the second part of its thirteenth session contained in conference rom paper FCCC/SBI/2000/CRP.11. At the same meeting, on a proposal by the Chairman, the SBI authorized the Rapporteur, with the

assistance of the secretariat, to complete the report of the session, under the guidance of the Chairman.

XIV. CLOSURE OF THE SESSION

71. At the final joint meeting of the SBI and the SBSTA, on 18 November, the chairmen recalled that during the thirteenth sessions of the subsidiary bodies, Parties had been able to advance understanding, start to reconcile interests and narrow down the options. They also noted that much work remained to be done by ministers and their representatives in order to work out the outstanding issues.

Annex I

REPORT OF THE JOINT WORKING GROUP ON COMPLIANCE ON ITS WORK DURING THE SECOND PART OF THE THIRTEENTH SESSIONS OF THE SUBSIDIARY BODIES

I. INTRODUCTION

1. The meetings of the Joint Working Group on Compliance (JWG) were presided over by the Co-Chairmen, Mr. Harald Dovland (Norway) and Mr. Tuiloma Neroni Slade (Samoa).

2. The Co-Chairmen opened the meetings of the JWG on 14 November 2000. They recalled that the JWG had requested them to further develop the text on procedures and mechanisms on compliance. In order to fulfil their mandate, the Co-Chairmen had drawn on the discussions at the first part of the thirteenth sessions as well as at informal consultations held from 12 to 14 October 2000 in New Delhi, India. Based on those discussions, the Co-Chairmen had prepared the text on compliance contained in document FCCC/SB/2000/11.

II. PROCEDURES AND MECHANISMS RELATING TO COMPLIANCE UNDER THE KYOTO PROTOCOL

1. Proceedings

3. The JWG held four meetings from 14 to 17 November. Statements were made by the representatives of 20 Parties, including one speaking on behalf of the Group of 77 and China, one speaking on behalf of the European Community and its member States and one speaking on behalf of the Alliance of Small Island States.

2. Conclusions

4. The JWG, on the basis of a proposal by the Co-Chairmen, recommended for consideration by the Conference of the Parties at its sixth session, the draft decisions, the text on procedures and mechanisms, and the text on final clauses contained in conference room paper FCCC/SB/2000/CRP.15/Rev.1.

III. REPORT ON THE MEETINGS

5. The JWG considered and adopted the report on its meetings prepared by the Co-Chairmen.

Annex II

DOCUMENTS BEFORE THE SUBSIDIARY BODY FOR IMPLEMENTATION AT THE SECOND PART OF ITS THIRTEENTH SESSION

Documents prepared for the session

FCCC/CP/2000/1/Add.1	Provisional agenda and annotations. Note by the Executive Secretary. Addendum
FCCC/CP/2000/1/Add.2	Provisional agenda and annotations. Note by the Executive Secretary. Addendum. List of documents for the Conference of the Parties and the subsidiary bodies
FCCC/CP/2000/3	Review of the implementation of commitments and of other provisions of the Convention. Report of the Global Environment Facility to the Conference
FCCC/CP/2000/3/Add.1	Review of the implementation of commitments and of other provisions of the Convention. Report of the Global Environment Facility to the Conference. Addendum. Review by the GEF of its climate change enabling activities
FCCC/SBI/2000/6	Provisional agenda and annotations. Note by the Executive Secretary
FCCC/SBI/2000/10	Report of the Subsidiary Body for Implementation on the work of its thirteenth session (Part One), Lyon, 11-15 September 2000
FCCC/SBI/2000/10/Add.1	Addendum. Implementation of Article 4.8 and 4.9 of the Convention (decision 3/CP.3 and Articles 2.3 and 3.14 of the Kyoto Protocol). Matters relating to Article 3.14 of the Kyoto Protocol
FCCC/SBI/2000/10/Add.2	Addendum. Procedures and mechanisms relating to compliance under the Kyoto Protocol. Proposals by the Co-Chairmen of the Joint Working Group on Compliance
FCCC/SBI/2000/10/Add.3	Addendum. Capacity-building in developing countries (non-Annex I Parties). Recommendation of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation

FCCC/SBI/2000/10/Add.4	Addendum. Capacity-building in countries with economies in transition. Recommendation of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation
FCCC/SBI/2000/11 and Corr. 1	National communications from Parties included in Annex I to the Convention: greenhouse gas inventory data from 1990 to 1998. Report on national greenhouse gas inventory data from Annex I Parties for 1990-1998
FCCC/SBI/2000/14	National communications from Parties included in Annex I to the Convention: greenhouse gas inventory data from 1990 to 1998. Report on progress with the implementation of decision 6/CP.5
FCCC/SBI/2000/15	National communications from Parties not included in Annex I to the Convention. Second compilation and synthesis of initial national communications from Parties not included in Annex I to the Convention
FCCC/SBI/2000/16	Report of the first meeting of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention
FCCC/SBI/2000/INF.8	Provision of financial and technical support. Information on the financial support provided by the Global Environment Facility to non-Annex I Parties for the preparation of their national communications
FCCC/SBI/2000/INF.9	Report of the regional workshop of the Consultative Group of Experts on national communications from non-Annex I Parties of the African region
FCCC/SBI/2000/INF.10	Report of the regional workshop of the Consultative Group of Experts on national communications from non-Annex I Parties of the Asian region
FCCC/SBI/2000/INF.11	Administrative and financial matters. Interim financial performance 2000. Status report on receipt of contributions by Parties
FCCC/SBI/2000/INF.13	National communications from Parties included in Annex I to the Convention: greenhouse gas inventory data from 1990 to 1998. Basic inventory data for Annex I Parties, 1990-1998

FCCC/SBI/2000/INF.14	National communications from Parties included in Annex I to the Convention. Progress report on the in-depth reviews of second national communications
FCCC/SBI/2000/L.5	National communications from Parties not included in Annex I to the Convention. Second compilation and synthesis of initial national communications from Parties not included in Annex I to the Convention. Recommendation of the Subsidiary Body for Implementation
FCCC/SBI/2000/CRP.11	Report on the session. Draft report of the Subsidiary Body for Implementation on the second part of its thirteenth session
FCCC/SBI/2000/CRP.12	National communications from Parties not included in Annex I to the Convention. Provision of financial and technical support. Draft conclusions by the Chairman
FCCC/SBI/2000/CRP.13/Rev.1	National communications from Parties not included in Annex I to the Convention. Report of the second meeting of the Consultative Group of Experts. Draft conclusions by the Chairman
FCCC/SBI/2000/CRP.14	National communications from Parties included in Annex I to the Convention. Greenhouse gas inventory data from 1990 to 1998. Draft conclusions by the Chairman
FCCC/SBI/2000/CRP.15	Financial mechanism. Report of the Global Environment Facility to the Conference of the Parties. Draft conclusions by the Chairman
FCCC/SBI/2000/CRP.16/Rev.1	Financial mechanism. Other matters. Draft conclusions by the Chairman of the Subsidiary Body for Implementation. Draft decision -/CP.6. Additional guidance to an operating entity of the financial mechanism
FCCC/SBSTA/2000/CRP.17	Methodological issues. Guidelines under Articles 5, 7 and 8 of the Kyoto Protocol. Draft conclusions of the Chairman of the Subsidiary Body for Scientific and Technological Advice
FCCC/SB/2000/10	Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol. Text by the chairmen
FCCC/SB/2000/10/Add.1	Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol. Text by the chairmen. Addendum. Article 6 of the Kyoto Protocol

FCCC/SB/2000/10/Add.2	Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol. Text by the chairmen. Addendum. Article 12 of the Kyoto Protocol
FCCC/SB/2000/10/Add.3	Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol. Text by the chairmen. Addendum. Article 17 of the Kyoto Protocol
FCCC/SB/2000/10/Add.4	Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol. Text by the chairmen. Addendum. Registries
FCCC/SB/2000/11	Procedures and mechanisms related to compliance under the Kyoto Protocol. Text proposed by the Co-Chairmen of the Joint Working Group on Compliance
FCCC/SB/2000/12	Implementation of Article 4.8 and 4.9 of the Convention (decision 3/CP.3 and Articles 2.3 and 3.14 of the Kyoto Protocol). Matters relating to Article 3.14 of the Kyoto Protocol. Note by the chairmen of the subsidiary bodies
FCCC/SB/2000/CRP.15/Rev.1 and Rev.2	Procedures and mechanisms related to compliance under the Kyoto Protocol. Text proposed by the Co-Chairmen of the Joint Working Group on Compliance. Report of the Joint Working Group on Compliance on its work during the second part of the thirteenth sessions of the subsidiary bodies
FCCC/SB/2000/CRP.16	Capacity-building in developing countries (non-Annex I Parties). Draft conclusions by the chairmen
FCCC/SB/2000/CRP.17/Rev.1	Capacity-building in countries with economies in transition. Draft conclusions by the chairmen
FCCC/SB/2000/CRP.18	Implementation of Article 4.8 and 4.9 of the Convention (Decision 3/CP.3 and Articles 2.3 and 3.14 of the Kyoto Protocol). Matters relating to Article 3.14 of the Kyoto Protocol. Note by the chairmen of the subsidiary bodies. Draft conclusions by the chairmen

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